

# DECLARATION FOR USA PATENT APPLICATION

(Including Design and National Stage PCT)

Attorney's Docket ID: \_\_\_\_\_

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention

entitled MATERIAL FOR NEGATIVE ELECTRODE OF LITHIUM SECONDARY BATTERY, METHOD FOR

PRODUCTION THEREOF AND LITHIUM SECONDARY BATTERY USING THE SAME, the specification of which

(check one) ☐ is attached hereto.

☐ is U.S. Application Serial No. \_\_\_\_\_ which was filed on \_\_\_\_\_.

and (if applicable) amended on \_\_\_\_\_.

☒ is International Application No. PCT/JP96/03344 which was filed on November 14, 1996.

and (if applicable) amended on Apr. 24, 1997, Nov. 17, 1997 and Jan. 12, 1998.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s) ( ☐ ADDITIONAL APPLICATIONS IDENTIFIED ON ATTACHED SHEET):

Number	Country	Day/Month/Year Filed	Priority Claimed Yes No
295462/1995	Japan	14/11/1995	X

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below; and insofar as the subject matter of each claim of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application in accordance with 37 C.F.R. 1.63(d). ( ☐ ADDITIONAL APPLICATIONS IDENTIFIED ON ATTACHED SHEET.)

Application Serial No. \_\_\_\_\_

Day/Month/Year Filed \_\_\_\_\_

\$



abandoned

000881

PATENT AND TRADEMARK OFFICE

I hereby appoint the following to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Andrew E. Taylor-17000	Thomas P. Sarro-19396	Douglas E. Jackson-28518	Harold L. Novick-26011
Walter C. Gillis-22086	Ross F. Hunt, Jr.-24082	B. Aaron Schulman-31877	Susan S. Morse-35292
Marvin Petry-22752	William E. Jackson-24016	Linda Ring-36255	Kevin J. Dunleavy-32024

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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SIGN AND DATE HERE	Inventor's Signature: Koichi Morita	Date: May 1, 1998
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Full Name of Third Joint Inventor, if any		Citizenship
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SIGN AND DATE HERE	Inventor's Signature: Yoshiteru Nakagawa	Date: May 1, 1998

SEE ATTACHED SHEET FOR SIMILAR INFORMATION AND SIGNATURE FOR ADDITIONAL JOINT INVENTORS.

**DECLARATION FOR USA PATENT APPLICATION**  
(Including Design and PCT)

ADDITIONAL INFORMATION SHEET  
(use as required)

Additional Prior Foreign Application(s):

Number	Country	Day/Month/Year Filed	Yes	No
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

Additional 35 U.S.C. § 120 applications:

Application Serial No.	Day/Month/Year Filed	Status -- patented, pending, abandoned
_____	_____	_____
_____	_____	_____

Additional Joint Inventors:

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Residence - City, State (if different from P.O. address) <b>Same as above</b>		
SIGN AND DATE HERE	Inventor's Signature: <i>Masamitsu Katsuura</i>	Date: <b>May 1, 1998</b>

Full Name of Fifth Joint Inventor, if any <b>MATSUYOSHI Hiroaki</b>		Citizenship <b>Japan</b>
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Residence - City, State (if different from P.O. address) <b>Same as above</b>		
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Full Name of Sixth Joint Inventor, if any <b>NISHIMURA Naoto</b>		Citizenship <b>Japan</b>
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SIGN AND DATE HERE	Inventor's Signature: <i>Naoto Nishimura</i>	Date: <b>May 1, 1998</b>

Full Name of Seventh Joint Inventor, if any <b>TSUKUDA Yoshihiro</b>		Citizenship <b>Japan</b>
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SIGN AND DATE HERE	Inventor's Signature: <i>Yoshihiro Tsukuda</i>	Date: <b>May 1, 1998</b>

Full Name of Eighth Joint Inventor, if any <b>MINATO Kazuaki</b>		Citizenship <b>Japan</b>
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SIGN AND DATE HERE	Inventor's Signature: <i>Kazuaki Minato</i>	Date: <b>May 1, 1998</b>

Full Name of Ninth Joint Inventor, if any <b>MITATE Takehito</b>		Citizenship <b>Japan</b>
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SIGN AND DATE HERE	Inventor's Signature: <i>Takehito Mitate</i>	Date: <b>May 1, 1998</b>

# DECLARATION FOR USA PATENT APPLICATION

(including Design and PCT)

ADDITIONAL INFORMATION SHEET  
(use as required)

Additional Prior Foreign Application(s):

Number	Country	Day/Month/Year Filed	Yes	No
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

Additional 35 U.S.C. § 120 applications:

Application Serial No.	Day/Month/Year Filed	Status -- patented, pending, abandoned
_____	_____	_____
_____	_____	_____

Additional Joint Inventors:

Full Name of Fourth Joint Inventor, if any <u>YAMADA Kazuo</u>		Citizenship <u>Japan</u>
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Full Name of Fifth Joint Inventor, if any <u>YONEDA Tetsuya</u>		Citizenship <u>Japan</u>
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SIGN AND DATE HERE	Inventor's Signature:	Date:

Full Name of Seventh Joint Inventor, if any		Citizenship
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Residence - City, State (if different from P.O. address)		
SIGN AND DATE HERE	Inventor's Signature:	Date:

Full Name of Eighth Joint Inventor, if any		Citizenship
Post Office Address		
Residence - City, State (if different from P.O. address)		
SIGN AND DATE HERE	Inventor's Signature:	Date:

Full Name of Ninth Joint Inventor, if any		Citizenship
Post Office Address		
Residence - City, State (if different from P.O. address)		
SIGN AND DATE HERE	Inventor's Signature:	Date: